

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	11562351
<b>Application Number:</b>	10694872
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4103
<b>Title of Invention:</b>	managing the execution of trades between market makers
<b>First Named Inventor/Applicant Name:</b>	Nigel J. Renton
<b>Customer Number:</b>	63710
<b>Filer:</b>	Glen Farbanish/Laura Alto
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	03-6171
<b>Receipt Date:</b>	07-DEC-2011
<b>Filing Date:</b>	28-OCT-2003
<b>Time Stamp:</b>	14:30:40
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	862
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	03-6171_111207_IDS.pdf	25806	no	2
			f3dc676da72b2e8eb08b5d1f9dc9a29ce09dfe05		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Form (SB08)	03-6171_111207_1449.pdf	24957	no	1
			af94d8dd8ee641d2ae0b27454f09f0c4f126378		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Non Patent Literature	AU_2005267056_OA_05-06-2011.pdf	273254	no	1
			ae820320c3372d8b798dfb6b4d34c98ff05c9e6d		
Warnings:					
Information:					
4	Non Patent Literature	10-895668_OA_05-26-2011.pdf	394310	no	12
			9153f04f5f63bf2106698d5b327f205589d91b0a		
Warnings:					
Information:					
5	Non Patent Literature	JP_2006-538134_NOA_09-20-2011.pdf	41061	no	3
			93181882c023916c39dd1b2d8b2af27d4c78be5c		
Warnings:					
Information:					
6	Fee Worksheet (SB06)	fee-info.pdf	30079	no	2
			b446f911811dcfb963fdd0cca913a584e10e753		
Warnings:					
Information:					
Total Files Size (in bytes):			789467		

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**